



PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -

Approved for use through 10/31/2002. OMB 0651-9031

н.	•	Applic	ation Number	10/066,405		
* TRANSMITTAL		Filing	Date	January 31, 2002		
TRANSMITTAL FORM		First N	lamed Inventor	Aoyama, Yuki		
/ (to be used for all correspondence after initial filing)			Art Unit			
		Exami	ner Name			
otal Number of Pages in This Submission		Attorne	ey Docket Number	16869N-041900US		
	ENCL	OSURES	(check all that apply)			
☑ Fee Transmittal Form	Assign	ment Pap Application		After Allowance Communication to Group		
Fee Attached	Attached Drawin			Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licens	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final			Slip (PTO/SB/69) ing Petition	Proprietary Information		
Affidavits/declaration(s)		n to Conv onal App		Status Letter		
Extension of Time Request		ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Termin			mer	Declaration/Power of Attorney		
Express Abandonment Request		st for Ref	und	2. Copy Notice		
Information Disclosure Statement	CD, Number of CD(s)			3. Supplemental ADS		
				4. Return Postcard		
Certified Copy of Priority Document(s)	Rema	rks	Deposit Account 20-	s authorized to charge any additional fees to 1430.		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated February 28, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case.					
SIGNA	TURE OF	APPLIC.	ANT, ATTORNEY, O	OR AGENT		
Firm Townsend and Tow	vnsend and	Crew LLF				
and Robert C. Colwell				lo. 27,431		
Signature ( 4 Company)	C Co	luul	<u> </u>			
Date April 29, 2002						
	CF	RTIFICA	TE OF MAILING			

class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, I

April 29, 2002

Typed or printed name

Margaret K. Stephan

Signature

Date

April 29, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3218235 v1

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•				Patent and	d Trademark Office: U.S.	DEPAR
Hadas the Beesey	ork Reduction Act of 1995, no persons a	are required to	respond to a collec-	tion of inf	formation unless it displa	avs a va
Unger the Paperwo	ork Reduction Act of 1995, no persons t	are required to	respond to a sense			-,

/				Complete if Known	
1	E FEE TRANS	MILIAL	Application Number	10/066,405	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
	\ for EV 1	2001	Filing Date	January 31, 2002	TO TO SERVICE OF
	, U - II		First Named Inventor	Aoyama, Yuki	K. E.
A A	Patent fees are subject to	annual revision.	Examiner Name		
96	OCH		Group Art Unit		18
de	TOTAL AMOUNT OF PAYMENT	(\$) 170	Attorney Docket No.	16869N-041900US	
Г	METHOD OF PA	YMENT		FEE CALCULATION (contin	nued)

TOTAL A	MOU	NT OF	PAYM	ENT (\$)_	170		Attorne	y Docke	t No.	1686	9N-041900US	
		MI	ETHOD	OF PAYMENT			T			FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge						3. ADD	3. ADDITIONAL FEES					
1.		indicate	ed fees a	and credit any o	ver payments to:		Large	Entity	Small	Entity		
						٦	Fee	Fee	Fee	Fee	Fee Description	Fee
Deposit Account 20-1430				Code	(\$)	Code	(\$)		Paid 130			
Number		-0 .	.00	/			105 127	130	205 227	65 25	Surcharge - late filing fee or oath	130
						127	50	221	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP						139	130	139	130	Non-English specification		
Name						147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status.  See 37 CFR 1.27  See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:						115	110	215	55	Extension for reply within first month		
						116	400	216	200	Extension for reply within second		
☐ Check ☐ Credit card ☐ Money ☐ Other										month		
Order FEE CALCULATION						117	920	217	460	Extension for reply within third month		
1. BAŞ	IC FIL	ING FEE		LCULATION			118	1,440	218	720	Extension for reply within fourth month	
-	tity S		ntity				128	1,960	228	980	Extension for reply within fifth month	
Fee Fe	-		ee F	ee Description			119	320	219	160	Notice of Appeal	
Code (\$)	C		\$)		Fee Paid	<u> </u>	120	320	220	160	Filing a brief in support of an appeal	
101 740				Jtility filing fee			121	280	221	140	Request for oral hearing	
106 330 107 510	-			Design filing fee Plant filing fee		$\dashv$	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740	0 2	08 3		Reissue filing fee	,		140	110	240	55	Petition to revive - unavoidable	
114 160	0 2	14 8	30 F	Provisional filing	fee		141	1,280	241	640	Petition to revive - unintentional	
						_	142	1,280	242	640	Utility issue fee (or reissue)	
		SUB	STOTAL	. (1)	(\$)		143	460	243	230	Design issue fee	
2. EXTRA C	L AIM	FFFS		-		-	144	620	244	310	Plant issue fee	
z. extract			E	xtra Fe	e from Fee		122	130	122	130	Petitions to the Commissioner	$\square$
Total Claims		-20**	= [	Claims be	ow Paid		123	50	123	50	Petitions related to provisional applications	
Independent Claims		-3**	=	×	=		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_		× [			581	40	581	40	Recording each patent assignment per property (times number of properties)	40
_	Entity Fee	Small Fee	Entity Fee				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	( <b>\$</b> ) 18	Code 203	(\$) 9	Fee Descript Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	18 84	203	42		ess of 20 claims in excess of 3		1					$\vdash$
	280	202	140		ndent claim, if not pa		179	740	279	370	Request for Continued Examination (RCE)	
	200 B4	209	42		dependent claims ov		169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla	ims in excess of 20	and	Other fee (specify)					
	-		-	over original p	patent 		The Commissioner is authorized to charge any additional fees to					
SUBTOTAL (2) (\$)					the above noted Deposit Account.							
		alu anid if		For Reissues se	o above		*Redu	ed by Ba	sic Filir	g Fee P	aid SUBTOTAL (3) (\$)170	

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Robert)C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400			
Signature	Cerum (	Colull		Date	April 29, 2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## United States Patent and Trademark Office

COMMISSIONER FOR PATENT UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/066,405

01/31/2002

Yuki Aoyama

16869N-041900US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 3749
FORMALITIES LETTER
\*OC000000007556638\*

Date Mailed: 02/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Mond

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/13/2002 SFELEKE1 00000028 201430 10066405

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